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TO:

Examiner Ali Abdelwahed

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1-571-273-4417 or 1-571-273-0047

FIRM NAME:

U.S. Patent and Trademark Office

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FROM:

Sean D. Solberg

FAX #:

(612) 340-2868

PHONE #:

(612) 340-7862

EMAIL:

Solberg.sean@dorsey.com

#### COMMENTS:

### Attached please find:

Information Disclosure Statement Under 37 CFR § 1.97(d) and (c)(2) - 2 pages PTO/SB/08A – 1 page Fee Transmittal Sheet – 1 page

First Named Inventor: James R. Hornsby

Serial No.: 10/751,351
Filed: January 5, 2004
Client Name: JaKKS Pacific
Client Number: 470612-3
Attorney/LA Initials: SDS:smn
Faxed: 28 December 2004





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REFERENCE # 4902-470612-3



Docket: 6677.02

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named

Inventor:

James R. Hornsby

Appln. No.:

10/751,351

Filed:

Title:

January 5, 2004

Examiner:

A.F. Abdelwahad

Group Art

AMUSEMENT DEVICE

Unit:

3712

# INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(d) and (e)(2)

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

#### Dear Sir:

Pursuant to 37 CFR § 1.97(d), the references listed on the attached Form PTO/SB/08A (1 sheet, submitted in duplicate) are brought to the attention of the Examiner for consideration in connection with the examination of the above-identified patent application. This Information Disclosure Statement ("IDS") is filed after receipt of a final office action or notice of allowance and on or before payment of the issue fee, and it is accompanied by the fee set forth in 37 CFR § 1.17(p). Applicant would greatly appreciate having the initialed PTO/SB/08A form be returned via facsimile to (612) 340-8856 in order that the issue fee may be timely paid.

Copies of the references cited are not enclosed, as allowed under 37 CFR § 1.98(d). Each item on the enclosed Form PTO/SB/08A was cited to, or cited by, the Office in one or more of the following prior related cases, to which priority to an earlier effective filing date is claimed under 35 U.S.C. § 120, in the present application.

09/777,144 filed 2/5/01 now U.S. Patent No. 6,672,934

## Payment of Fee Under 37 CFR § 1.17(p)

The Commissioner is authorized to charge the \$180.00 fee under 37 CFR § 1.17(p), required for submission of an IDS under 37 CFR § 1.97(d), to deposit account number 04-1420, as referenced in the attached Fee Transmittal Sheet.

Respectfully submitted,

DORSEY & WHITNEY LLP Customer Number 25763

Date: December 28, 2004

Sean D. Solberg (Reg. No. 48,653) Intellectual Property Department Suite 1500, 50 South Sixth Street Minneapolis, MN 55402-1498

(612) 340-7862

PTO/SB/08A (10/01) (modified) ...

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Substitute for form 1449 AVE TO **Application Number** 10/751,351 **Filing Date** January 5, 2004 INFORMATION DISCLOSURE **First Named Inventor** James R. Hornsby STATEMENT BY APPLICANT 3712 Art Unit (use as many sheets as necessary) A. Abdelwahad **Examiner Name** 1 1 **Attorney Docket Number** 6677.02 **Sheet** of **U.S. PATENT DOCUMENTS** Pages, Columns, Lines, DOCUMENT NUMBER \*Examiner Cite Name of Patentee or Where Relevant Passages or **Publication Date** Initials Number - Kind Code (if known) MM-DD-YYYY Applicant of Cited Document Relevant Figures Appear 4/1989 4,820,232 Takahashi et al. US-5,141,464 8/1992 Stern et al. US-Maxim et al. 5,297,981 3/1994 US-Wilkinson 5,439,408 8/1995 US-Lin 5,676,582 10/1997 US-Markowitz 5,765,508 6/1998 US-6,220,921 4/2001 Kim US-Chan et al. 6,273,782 8/2001 US-6,672,934 1/2004 Hornsby et al. US-US-US-FOREIGN PATENT DOCUMENTS FOREIGN PATENT DOCUMENT Publication TRANSLATION Pages, Columns, Lines, Number - Kind Code (if Country Date Cite Name of Patentee or Where Relevant Passages Code: known) Initial No. MM-DD-YYYY **Applicant of Cited Document** or Relevant Figures Appear YES NO  $\Box$ П **EXAMINER SIGNATURE** DATE CONSIDERED

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

OIPE						
Effective on 12/08/04 JAN 1 0 2005	Complete if Known					
JAN (			n No.	10/751,351	10/751,351	
CCC TO A TOMPS XI CHEET			е	January 5,	2004	
FEE TRANSMITTAL SHEET			First Inventor		James R. Hornsby	
(FY 2005)	Group Art Unit		3712	3712		
			Name	A. Abdelwa	A. Abdelwahad	
Applicant claims small entity status (see 37 C.F.R. 1.27)	Atty.	Dock	et Numb	er 6677.02	6677.02	
METHOD OF PAYMENT (Check One)		FEE CALCU			CULATION (Continued)	
☐ Check ☐ Credit Card ☐ Money Order				3. ADDITIONAL FEES		-
Deposit Account No.: 04-1420 Deposit Account Name: DORSEY & WHITNEY LLP For the above-identified deposit account, the Director is hereby	Lar Ent Fe	tity	Small Entity Fee	Fee Description		Fee paid
authorized to: (check all that apply)  Charge any additional fees or underpayments of fee(s) under		0	25	Surcharge - late provisional filing fee or cover sheet		
37 C.F.R. 1.16 and 1.17  Credit any overpayments	13	1411 65		Surcharge – Late fee or oath	arge – Late nonprovisional filing oath	
Other (please identify):	18	В <b>О</b>	180	Submission of ID	3	\$180.00
Extra Claim Fees	4	0	40		atent assignment per imber of properties)	
Current Claims Prior Extra Fee Fee Paid		20	60	Extension for reply within first month		
Total 20 = x \$ = \$ lnd 3 = x \$ = \$	45	50	225	Extension for rep	y within second month	
Multiple Dependent Claims x \$ = \$ Subtotal (Extra Claims) \$	1,0	20	510	Extension for rep	y within third month	
			795	Extension for reply within fourth month		
Petition Fee Under 37 CFR 1.17(f), (g), & (h)	2,1		1,080	Extension for reply within fifth month		
Enclosed is a Petition filed under 37 CFR as indicated below:    Petition Fee under 37 CFR 1 17(f)   Fee \$400		90	395	Submission After	Final 1.129	
§ 1.53(e) to accord a filing date.		00	250	Notice of Appeal		
§ 1.57(a) to accord a filing date. § 1.182 for decision on a question not provided for.		00 000	250 500	Request for oral I	pport of an appeal	
§ 1.183 to suspend the rules. § 1.378(e) for reconsideration of decision on petition refusing delayed	1	30 30	65	Terminal Disclain		
payment of maintenance fee in expired patent.  § 1.174(b) to accord a filing date to an application under §1.740 for extension		00	400	Design Issue Fee		
of patent term.		90	395	Request for Cont	nued Examination	
Petition Fee under 37 CFR 1.17(g) Fee \$200 § 1.12 for access to an assignment record.			333	(RCE) Request for voluntary publication or		
§ 1.14 for access to an application. § 1.47 for filing by other than all inventors or person not the inventor.	13	30		republication		
§ 1.59 for expungement of information. § 1.103(a) to suspend action in an application.	1	00	250	Petition to Revive		
§ 1.136(b) for review of a request for ext. of time when §1.136(a) not avail. for review of refusal to publish a statutory invention registration.		500	750	Petition to Revive – unintentional		
§ 1.296 to withdraw a req. for pub. after notice of intent to publish issued. § 1.377 for review of decision refusing to accept a maintenance fee filed		00		Filing for patent to Request for reins		
prior to expiration of a patent.  § 1.550(c) for request for ext. of time in ex parte reexam. proceedings.	40	00		reduced		
§ 1.956 for request for ext. of time in ex parte reexam, proceedings.	1,1	120		Extension of term	of patent	
<ul> <li>§ 5.12 for expedited handling of foreign filing license.</li> <li>§ 5.15 for changing the scope of a license.</li> <li>§ 1.5.25 for retroactive license.</li> </ul>	1	THER FEE Decify)				
Petition Fee under 37 CFR 1.17(h) Fee \$130	(5)	cony		Subf	Subtotal (Additional Fees) \$1	
§ 1.19(g) to request documents in a form other than provided in this part. § 1.84 for accepting color drawings or photographs.				·		
§ 1.91 for entry of a model or exhibit. § 1.102(d) to make an application special.			Total Amount of Payment: \$180.00			
§ 1.138(c) to expressly abandon an application to avoid publication. § 1.313 to withdraw an application from issue.						
§ 1.314 to defer issuance of a patent.	<u> </u>					
Submitted by:  CUSTOMER NUMBER DORSEY & WHITNEY LLP	$\overline{}$					
25763						
Name: Sean D. Solberg			e No.: (6	340-7862	Fax No.: (612) 340	-8856
600			No.: 48,		Date: December 28, 2004	
Signature:	I				1	